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APPLICATION NO.	FII	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/629,329	10/629,329 07/29/2003		Bryant G. Darnay	UTSC:761US	8033	
32425	7590	02/28/2005		EXAMINER		
		VORSKI L.L.P.	LI, RUIXIANG			
600 CONGR SUITE 2400		•	ART UNIT	PAPER NUMBER		
AUSTIN, T.			1646			
				DATE MAILED: 02/28/2005		

Please find below and/or attached an Office communication concerning this application or proceeding.

		Amplication No.	Analianata				
		Application No.	Applicant(s)				
	Office Action Summary	10/629,329	DARNAY, BRYANT G.				
	Office Action Guillinary	Examiner	Art Unit				
		Ruixiang Li	1646				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
THE I Exter after If the If NO Failu Any	ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. period for reply specified above is less than thirty (30) days, a reply or to reply is specified above, the maximum statutory period or to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tim  within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. & 133).				
Status							
1)🖂	Responsive to communication(s) filed on 21 De	ecember 2004 and 10 September	<u>r 2004</u> .				
2a) <u></u> □	This action is <b>FINAL</b> . 2b)⊠ This	action is non-final.					
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Dispositi	on of Claims						
5)□ 6)⊠ 7)⊠	Claim(s) 1-29 is/are pending in the application.  4a) Of the above claim(s) 10-29 is/are withdrawn from consideration.  Claim(s) is/are allowed.  Claim(s) 1-6,8 and 9 is/are rejected.  Claim(s) 7 is/are objected to.  Claim(s) are subject to restriction and/or election requirement.						
Applicati	on Papers						
10)⊠	The specification is objected to by the Examine The drawing(s) filed on <u>15 December 2003</u> is/an Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex	re: a) $\square$ accepted or b) $\square$ objected drawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).				
Priority u	nder 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
2) 🔲 Notice 3) 🔯 Inform	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) No(s)/Mail Date 10/27/2003.	4) Interview Summary ( Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	te atent Application (PTO-152)				

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#### **DETAILED ACTION**

#### Election/Restrictions

 Applicant's election without traverse of Group I, claims 1-9, in the reply filed on 09/10/2004 and 12/21/2004 is acknowledged. Applicant's election of species without traverse of a Kaposifibroblast growth factor signal sequence is also acknowledged.
 Currently, claim 8 is generic regarding the leader signal sequences.

2. Claims 1-29 are pending. Claims 1-9 are under consideration. Claims 10-29 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made without traverse on 09/10/2004 and 12/21/2004

#### Information Disclosure Statement

3. The information disclosure statement submitted on 10/27/2003 has been considered by the Examiner and a signed copy has been attached to the office action.

#### **Drawings**

4. The drawings filed on 07/29/2003 are accepted by the Examiner.

## Claim Rejections—35 USC § 112, 1st paragraph

5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the

art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

6. Claims 1-6, 8, and 9 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for an isolated polypeptide of SEQ ID NO: 2, does not reasonably provide enablement for a genus of polypeptide comprising at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO:2. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in scope with the claims.

The factors that are considered when determining whether a disclosure satisfies enablement requirement include: (i) the quantity of experimentation necessary; (ii) the amount of direction or guidance presented; (iii) the existence of working examples; (iv) the nature of the invention; (v) the state of the prior art; (vi) the relative skill of those in the art; (vii) the predictability or unpredictability of the art; and (viii) the breadth of the claims. *Ex Parte Forman*, 230 USPQ 546 (Bd Pat. App. & Int. 1986); *In re Wands*, 858 F. 2d 731, 8 USPQ 2d 1400 (Fed. Cir. 1988).

Claims 1-6, 8, and 9 are drawn to an isolated polypeptide comprising at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2. The claims do not require that the polypeptide possess any particular biological activity, nor any particular conserved structure. Thus, the claims are broad and encompass virtually any random sequence of any length as long as the polypeptide comprises at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2. However, other than the polypeptide of SEQ ID NO: 2 and its encoding nucleic acids of SEQ ID NO: 1, the disclosure fails to provide sufficient guidance and/or working examples regarding the structural and functional requirements commensurate in scope with what is

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encompassed by the instant claim. While disclosing three point mutations in RAIN that disrupt its inhibitory effect on ostoclast formation, the disclosure has not shown (i) which portions of SEQ ID NO: 2 are critical to the activity of the protein of SEQ ID NO: 2; and (ii) what modifications (e.g., substitutions, deletions or additions) one can make to SEQ ID NO: 2 will result in protein mutants with the same functions as the protein of SEQ ID NO: 2.

The relative skill of those in the art is not high because the prior art does not teach how to make and use the polypeptide of SEQ ID NO: 2, nor the genus of polypeptides comprising at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2. It is noted that Tang et al. teach a polypeptide that is 98.9% identical to the polypeptide of SEQ ID NO: 2 of the present invention and comprises 163 contiguous amino acids of SEQ ID NO: 2 (U.S. Patnet Application Publication No. US 2003/0207430 A1, November 6, 2003; filing date March 1, 2001) and Demarini teach a polypeptide that is 98% identical to the polypeptide of SEQ ID NO: 2 of the present invention and comprises 93 contiguous amino acids of SEQ ID NO: 2 (Demarini (EP892050-A2, January 17, 1999). However, neither of the prior art establishes that the polypeptide has the same activity as that of RAIN of the present invention. It is unpredictable whether an isolated polypeptide comprising at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2 shares the same activity with the polypeptide of SEQ ID NO: 2 due to lack of sufficient guidance provided in the specification and the teachings in the art on how to make and use the claimed genus of polypeptides. The state of the art (See, e.g., Ngo, et al, The Protein Folding Problem and Tertiary Structure Prediction, 1994, Merz, et al. (ed.), Birkhauser,

Boston, MA, pp. 433 and 492-495) is such that the relationship between sequence of a protein and its activity is not well understood and is not predictable. Excising out portions of a protein or modifications to a protein, e.g., by substitutions or deletions, would often result in deleterious effects to the overall activity and effectiveness of the protein.

Accordingly, while being enabling for an isolated polypeptide of SEQ ID NO: 2, the specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the genus of polypeptides comprising at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2. Thus, it would require undue experimentation for one skilled in the art to make and use the claimed invention commensurate in scope with the claims.

# Claim Rejections—35 USC § 112, 1st paragraph

7. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

8. Claims 1-6, 8, and 9 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor, at the time the application was filed, had possession of the claimed invention.

To provide adequate written description and evidence of possession of a claimed genus, the specification must provide sufficient distinguishing identifying characteristics of the genus. The factors to be considered include disclosure of

complete or partial structure, physical and/or chemical properties, functional characteristics, structure/function correlation, methods of making the claimed product, or any combination thereof.

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Claims 1-6, 8, and 9 are drawn to an isolated polypeptide comprising at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2. The claims do not require that the polypeptide possess any particular biological activity, nor any particular conserved structure, nor other disclosed distinguishing feature. Thus, the claims encompass virtually any random sequence of any length as long as the polypeptide comprises at least 10, 15, 20, 30, or 50 contiguous amino acids of SEQ ID NO: 2.

The instant disclosure of an isolated polypeptide of SEQ ID NO: 2 and and its encoding nucleic acid molecule set forth in SEQ ID NO: 1 does not adequately support the scope of the claimed genus. A description of a genus of cDNA may be achieved by means of a recitation of a representative number of cDNAs, defined by nucleotide sequence, falling within the scope of the genus, or of a recitation of structural features common to the genus, which features constitute a substantial portion of the genus. Regents of the University of California v. Eli Lilly & Co., 119 F3d 1559, 1569, 43 USPQ2d 1398, 1406 (Fed. Cir. 1997). The instant disclosure discloses three point mutations in RAIN that disrupt its inhibitory effect on ostoclast formation, but fails to provide sufficient description information, such as definitive structural or functional features of the claimed genus of polypeptides. There is no sufficient description of the structure and function of the genus claimed. There is no sufficient description of the sites at which

variability may be tolerated and there is no information regarding the relation of structure to function. Furthermore, the prior art does not provide compensatory structural or correlative teachings to enable one skilled in the art to identify the encompassed polypeptides as being identical to those instantly claimed.

Due to the breadth of the claimed genus and lack of the definitive structural or functional features of the claimed genus, one skilled in the art would not recognize from the disclosure that the applicant was in possession of the claimed genus. Accordingly, only the isolated polypeptide comprising SEQ ID NO: 2, but not the full breadth of the claims meets the written description provision of 35 U.S.C. §112, first paragraph.

### Claim Rejections—35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 10. Claims 1-6 and 8 are rejected under 35 U.S.C. 102(b) as being anticipated by Demarini (EP892050-A2, January 17, 1999).

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Demarini teach a polypeptide that is 98% identical to the polypeptide of SEQ ID NO: 2 of the present invention and comprises 93 contiguous amino acids of SEQ ID NO: 2 (see attached sequence alignment). Demarini further teach that it is oftern advantageous to include an additional amino acid sequence that contains secretory or leader sequences for stability during recombiant production (page 4, lines 52-53).

Thus, the reference of Demarini meets the limitations of claims 1-6 and 8.

11. Claims 1-6 and 8 are rejected under 35 U.S.C. 102(e) as being anticipated by Tang et al. (U. S. Patnet Application Publication No. US 2003/0207430 A1, November 6,

2003; filing date March 1, 2001).

Tang et al. teach a polypeptide that is 98.9% identical to the polypeptide of SEQ ID NO: 2 of the present invention and comprises 163 contiguous amino acids of SEQ ID NO: 2 (see attached sequence alignment). Tang et al. further teach that the polypeptide may have a leader sequence (a signal sequence). Thus, the reference of Tang et al. meets the limitations of claims 1-6 and 8.

Claim Objections

12. Claims 1-9 are objected to because of the following informalities: (i) there is an extra period at the end of claim 7; and (ii) claims 1-9 recite non-elected subject matter (non-elected amino acid sequences). Appropriate correction is required.

Conclusion

13. No claims are allowed.

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### **Advisory Information**

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ruixiang Li whose telephone number is (571) 272-0875. The examiner can normally be reached on Monday through Friday from 8:30 am to 5:00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Caputa, can be reached on (571) 272-0829. The fax number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, please contact the Electronic Business Center (EBC) at the toll-free phone number 866-217-9197.

Ruixiang Li, Ph.D.

Ruixiang Li

Examiner

February 24, 2005

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WPI; 1999-083567/08.
N-PSDB; AAX05748.
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17-OCT-1997;
                                                                                                                                                                                                                                                                                                          17-FEB-1998;
                                                                                                                                                                                                                                                                                                                                                                                    HFIZG53; human; inflammatory disease; infection; HIV-1; HIV-2; cancer HIV-associated cachexia; immunodeficiency disorder; septic shock; pai Parkinson's disease; cardiovascular disease; psychotic; neurological;
                                                                                                                                                                                                                                                                                                                                                                                                                                                           AAW94762;
                                                                                                                                                                                                                                                                                                                             20-JAN-1999.
                                                                                                                                                                                                                                                                                                                                             EP892050-A2.
                                                                                                                                                                                                                                                                                                                                                             Homo sapiens.
                                                                                                                                                                                                                                                                                                                                                                               Huntington's
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                            AAW94762 standard; protein;
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97US-00953494.
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                                                                                                                                                                                                                                                                                                                                                                                                       inflammatory disease;
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cancer and Parkinson's disease. New HFIZG53 polypeptide and polynucleotide - useful as diagnostic reagents and for prevention and treatment of inflammatory diseases,

Claim 11; Page 7; 22pp; English.

CC ontaining an expression system comprising the HFIZG53 nucleic acid are containing an expression system comprising the HFIZG53 nucleic acid are used for the recombinant production of the protein. HFIZG53 polypeptides can polynucleotides are useful for diagnosing diseases related to over or underexpression of HFIZG53 protein. The HFIZG53 polypeptides can be used to screen for agonists and antagonists which can be used in treatment to continue to response to immunists which can be useful for activate or inhibit HFIZG53 activity. Gene therapy may also be used to contivate or inhibit HFIZG53 activity. Gene therapy may also be used to continue response to immunise and prevent diseases, and for inducing an immune response to immunise and prevent diseases, and for including an immunise and prevent diseases, and for including to contain the administered directly or as a vaccine to inoculate against disease. Diseases prevented, disgnosed or treated continue inflammatory diseases such as Adult Respiratory Disease Syndrome, theumatoid arthitis, osteoarthitis, inflammatory Bowel Disease, asthma, composited the protections including bacterial, fungal, protection and viral, particularly HIV-1 and -2; HIV-associated cachexia and other immunodeficiency disorders, septic shock, injury, pain; cancers

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Best Local S
Matches 239
                                   Gene encoding an antigen recognizing an antibody which induces granulocyte colony stimulating factor (G-CSF) expression for gene and treatment of G-CSF associated disorders e.g. the side effects
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               including testicular cancer; anorexia; bulimia; Parkinson's disease; cardiovascular disease including restenosis, atheroselerosis, acute heart failure, myoccardial infarction, hypotension, hypertension; urinary retention; angina pectoris; ulcers; benign prostatic hypertrophy; and psychotic and neurological disorders (anxiety, schizophrenia, delirium, manic depression, dementia, severe mental retardation) and dyskinesias, such as Huntington's diseases or Gilles de la Tourette's syndrome. The HFIZG53 polypeptide is also useful for mapping the gene to a chromosome, allowing gene inheritance to be studied through linkage analysis
 Claim 3; Page 52-53;
                                                                                            N-PSDB;
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                                                                                                                                                                                                                                                                                          Homo sapiens
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                                                                                                                                                                                                                                                                                                                 protein; antibody; granulocyte colony car therapy; bone marrow suppression;
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58pp; Japanese.
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Pred. No. 2.3e-134;
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Gene encoding protein binding to antibody having granulocyte-colony stimulating factor (G-CSF) inducing activity, useful for screening
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 Human; granulocyte-colony stimulating factor; G-CSF antimicrobial; G-CSF-inducible antibody; neutrophil
                                                                                                                                                                                                                                                                                                                                                             04-APR-2002.
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Pred. No. 2.3e
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2.3e-134;
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APPLICANT: BURFORD, Neil
APPLICANT: BAUGHN, Mariah R.
APPLICANT: BAUGHN, Mariah R.
TITLE OF INVENTION: HUMAN ENZYME MOLECULES
FILE REFERENCE: PF-0763 PCT
CURRENT APPLICATION NUMBER: US/10/220,381
CURRENT FILING DATE: 2001-03-01
NUMBER OF SED ID NOS: 52
SOFTWARE: PERL Program
SEQ ID NO 2
LENGTH: 242
TYPE: PRT
ORGANISM: Homo sapiens
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             Sequence 4, Application US/10381710
Publication No. US20040052789A1
GENERAL XUFORMATION:
APPLICANT SHA, Shiken et al.
TITLE OF INVENTION: NOVEL PROTEINS, GENES ENCODING THEM AND METHOD OF USING FILE REFERENCE, 030-01989
FILE REFERENCE, 030-01989
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Best Local Similarity 99.2%;
Matches 240; Conservative
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NAME/KEY: misc_feature
OTHER INFORMATION: Incyte ID No. US20030207430A1 2116390CD1
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AZIMZAI, Yalda
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BANDMAN, Olga
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  NUMBER: US/10/381,710
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Pred. No. 1.7e-127;
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Best Local Similarity 93.8
Matches 227; Conservative
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TYPE: PRT
ORGANISM: Homo sapiens
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; SEQ ID NO 2; LENGTH; 241; TYPE: PRT; ORGANISM: Mouse macrophage cell RAW 264.7 US-10-381-710-2
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publication No. US20040052789A1
GENERAL INFORMATION:
APPLICANT: SHA, Shiken et al.
TITLE OF INVENTION: NOVEL PROTEINS, GENNS
FILE REFERENCE: 0230-0198P
CURRENT APPLICATION NUMBER: US/10/381,710
CURRENT FILING DATE: 2003-09-16
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SOFTWARE: PatentIn version 3.2
SEQ ID NO 4
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            SOFTWARE: PatentIn version 3.2
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                                                                              121 AVMATILFPGREFKITHQEMIKGIKKCTSGGYYRYDDMLVVPIIENTPEEKGLKDRÄAHA
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Pred. No. 1.8e-120;
9; Mismatches 5;
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Pred. No. 2.3e-126;
0; Mismatches 3;
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